



APPROVED by the
Decision of the Board of
Directors of Abylkas saginov
Karaganda Technical
University NPJSC
dated 07/10/2024
(Minutes No. 7)

**Annual work plan
of the Internal Audit Service
of Non-profit Joint-stock Company
Abylkas Saginov Karaganda Technical University
for the period from August 01 to December 31, 2024**

No.	Name of the audit engagement	Risk rating	Terms of the audit engagement execution	The audit engagement objectives. Grounds	Note
1.	1) Updating the Regulation on the Internal Audit Service. 2) Developing the quality assurance and improvement program covering all the types of internal audit activities. 3) Developing a procedure for assessing the effectiveness (quality) of the internal audit service	Medium	August-September 2024	Performance evaluation / Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, art. 129-130	
2.	Developing a document regulating the procedure for conducting an audit and relations with an external auditor	Medium	September 2024	Internal control and audit / Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, art. 216	
3.	Monitoring implementation of recommendations based on the results of the audit for the 2nd quarter of 2024	Medium	September 2024	Monitoring / Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, subparagraph 4 of Art. 129	Quarterly
4.	Report on the results of the IAS activities for the 3 quarter of 2024		October 2024	Report of the internal audit service/Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, subparagraph 4 of Article 129	Quarterly

5.	Monitoring and evaluation of internal control over the Company's administrative expenses	Medium	October 2024	Compliance audit /Section 16 paragraph 22 of the Charter of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University.	Yearly
6.	Verification of the justification for the use of funds in settlements with suppliers and contractors for the period from January 2022 to September 2024. <i>(this item was amended by the decision of the Board of Directors of the Company on November 12, 2024).</i>	High	November 2024	Assessment of the effectiveness of the internal control system / Corporate Governance Code of the Non-profit Joint-Stock Company "Abylkas Saginov Karaganda Technical University," Art. 211, p. 8, Letter from the MSHE, No. 1-01-04-07/8438-11, dated October 8, 2024.	
7.	Evaluation of the state of the risk management process of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University	High	November 2024	Audit for compliance / Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, Art. 211	Quarterly
8.	Monitoring the implementation of recommendations based on the results of inspections	Medium	December 2024	Monitoring / Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, p. 6 Art. 129	Quarterly
9.	Monitoring the elimination of comments and the implementation of recommendations of the internal state audit	High	December 2024	Audit for compliance / Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, Art. 211	
10.	Evaluation of the KPI performance of the Management Board for 3-4 quarters of 2024	Medium	December 2024	Corporate Governance Assessment / Corporate Governance Code of Non-profit Joint-stock Company Abylkas Saginov Karaganda Technical University, Art. 211	Quarterly

AMENDMENT SHEET

1. Change No. 1:

- Approved by the decision of the Board of Directors of the NPJSC “Abylkas Saginov Karaganda Technical University” (Minutes No. 9, dated November 12, 2024).